



北京首通證券香港集團有限公司

BEIJING SHOUTONG SECURITIES HONG KONG GROUP LIMITED

香港灣仔港灣道 18 號中環廣場 4005 室

Suite 4005, Central Plaza, 18 Harbour Road, WanChai, Hong Kong

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Request Form of non-Hong Kong Securities Trading Services

(Applicable for existing clients of Beijing Shoutong Securities Hong Kong Group Limited)

現有客戶開通香港以外市場的證券交易服務申請表格

(已持有北京首通證券香港集團有限公司賬戶者適用)

Account Name 客戶名稱: _____

Account Number 客戶編號: _____

Trading Account(s)交易帳戶: (以✓號選擇 Please tick to choose)

Custodian A/C-HK Securities 現金託管賬戶(香港證券)

Margin A/C - HK Securities 保證金賬戶(香港證券)

Global Stock (including but not limited to the United States of America)

環球股票(包括但不限於美國)

Northbound Shanghai and Shenzhen Connect Eligible Stocks (List of SSE/SZSE/China Connect

Securities as amended from time to time by HKEX). 北向滬股通及深股通合資格股份 (由香港交易所不時修訂的滬股通/深股通/中華通名單)

Online & Mobile Phone Trading Services for the above account(s) (Please tick to choose) 為上述已選賬戶開通網上及手機交易服務 (以✓號選擇)

Yes 是 (Non-Hong Kong Securities do not accept order modification, please cancel the existing order(s) before execution and submit new order(s). 非香港股票網上及手機交易服務並不接受價格更改,請在訂單成交前取消並重新輸入訂單)

No 否



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I/We, the undersigned client(s) hereby confirm that I/We have been provided the Newest Verion of Client Agreement (“the Agreement”) of Beijing Shoutong Securities Hong Kong Group Limited (“Beijing Shoutong”) and the Risk Disclosure Statement in a language of my/our choice(receipt of a copy whereof is hereby acknowledged by me/us). I/We, the undersigned client(s) hereby apply to open the types of account(s) and service(s) which I/We choose on the front page of this Account Opening Form and confirm that I/We have read and understand the relevant provisions of the attached Client Agreement and accept and agree to be bound by the Agreement as the same may be amended from time to time. I/We, the undersigned client(s) further acknowledge and confirm that I/We have been invited by Beijing Shoutong to read the Risk Disclosure Statement, ask questions and take independent advice, if I/We wish. In the event of discrepancy between the Chinese text and the English text of this form, the English version shall prevail.

本人/吾等(在下面簽署的客戶)確認北京首通證券香港集團有限公司(下稱”北京首通”)已按本人/吾等選擇的語言(英文或中文)提供了最新版本客戶協議書及風險披露聲明之副本。本人/吾等(在下面簽署的客戶)現申請開立本人/吾等在本開戶表格頁首選擇之帳戶及服務類別。本人/吾等確認已閱讀並明白附上之北京首通證券香港集團有限公司客戶協議書〈該協議書〉的所有有關條款並且接受及同意受可不時被修改的該協議書之條款所約束。本人/吾等(在下面簽署的客戶)進一步確認北京首通已經邀請本人/吾等閱讀風險披露聲明,提出問題及徵求獨立的意見(如本人/吾等有此意願)。倘若本协议的中文和英文版本之间的解释或含义存在任何差异,客户和经纪同意以英文版本为准。

Name: _____ Signature: _____ Date: _____

(客户姓名)

(客户签署确认)

(日期)